

Checklist: The Difference between Misconduct and Poor Performance (Incapacity)

Misconduct and poor performance enquiries are different. The checklist below is a guide and will assist the employer to distinguish whether the conduct in question is characterised as misconduct or poor performance:

MISCONDUCT	POOR PERFORMANCE
Blame is involved – intentional or negligent.	No blame or fault is involved.
The employee is able but unwilling to meet the required standard of conduct.	The employee is unable to meet the required standard of performance.
Breach of a workplace rule	Failure to meet a workplace standard
Test to determine misconduct:	Test to determine incapacity:
Did the employee break a rule or standard regulating conduct in the workplace?	 Did the employee fail to meet a performance standard?
Was the rule valid or reasonable?	 Was the standard reasonable /
Was the employee aware of the rule?	achievable?
Was the rule consistently applied?	Was the employee aware of the required standard?
What sanction would be appropriate?	
	 Was the employee given a fair opportunity to meet the standard?
	 Would dismissal be the appropriate sanction for not meeting the required standard?
	 What alternatives to dismissal have been considered?
Steps that can be taken:	Steps that can be taken:
Disciplinary steps are taken, including informal advice, correction, warnings or dismissal (following a disciplinary hearing) depending on the seriousness of the transgression.	Training, instruction, guidance, evaluation, counselling and ultimately dismissal (following an incapacity hearing).